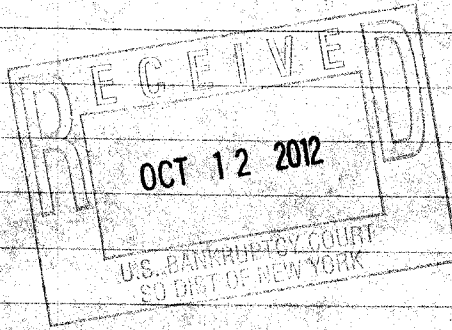


YOUR HONOR

THIS IS WHAT THE INSURANCE HAS  
DONE FOR ME

Frank Rutherford



October 30, 1996

Mr. Frank D. Rutherford  
112 E. Short Street  
Clay, KY 42404

Dear Mr. Rutherford:

RE: EMPLOYMENT HISTORY  
SS# [REDACTED] 9272

This is in response to your employment history request.

The employment records of Peabody Coal Company, a Delaware Corporation, and/or the employment records of predecessor companies, in the possession of Peabody Coal Company reflect the following:

<u>LOCATION</u>	<u>CLASSIFICATION</u>	<u>DATES</u>
Camp #1	Laborer	05/03/71
	Roof Bolter	07/21/71
	Roof Bolter Operator	11/26/71
	Fireboss	03/29/72
	Stationary Equip. Operation	11/02/87
		To Present

Sincerely,

*Betty F. Jones*

Betty F. Jones  
Administrative Assistant

DISABILITY AWARD DATE 18 APRIL, 2001  
PENSION NOVEMBER 1, 2000



Dust Division

June 26, 2000

MEMORANDUM FOR ROBERT G. SMITH  
Health Specialist, Coal Mine Safety and Health  
District 10, Madisonville, KY

THROUGH: THOMAS F. TOMB  
Chief, Dust Division

FROM: PAUL S. PAROBECK *Paul S. Parobeck*  
Supervisory Physical Scientist

SUBJECT: Bulk Samples for Asbestos Determination

Recently our laboratory received a bulk sample which you submitted for the determination of the presence of asbestos. The sample was from a brake pad at the Peabody Coal Company, Camp No. 1 Mine, Mine I.D. 15-02709. The sample was forwarded to the OSHA Laboratory in Salt Lake City, Utah, for analysis.

OSHA has reported the sample to contain 25 percent Chrysotile Asbestos, estimated volume percent. A copy of the analytical report from the OSHA laboratory is attached.

If you have any questions regarding the analyses, please do not hesitate to contact me at (412) 892-6893.

Job Title:

11. Number Exposed:

1. Frequency of Exposure:

Exposure Summary									
1.	14.	15.	16.	17.	18.	19.	20.	21.	22.
Line	Sub.	Req	Sepl	Exp	Exposure	Units	PBL	Adj	Severity
2.	Code	std	Type	Type	Level				
	9020	L	B						

23. Citation Information

No	FTA	Over	Eng.	PPE	Trng.	Med.	Other
Cit.	Exp.						
A	B	C	D	E	F	G	H
A	B	C	D	E	F	G	H
A	B	C	D	E	F	G	H
A	B	C	D	E	F	G	H

4. Additives (Enter Line No. for these agents contributing to additive effect):

5. Total Number of Lines (13): Analysis Results

6. Analyst's Comments (Including Analytical Method): ID-191  
Asbestos (fibers > 5 micrometers)  
Bulk sample is an estimated volume percent.

27. CHAIN OF CUSTODY

	INIT	DATE
a. Seals Intact?	N	
b. Read in Lab	BJD	06/08/2000
c. Read by Anal.	CLM	06/15/2000
d. Anal. Completed	CLM	06/16/2000
e. Calc. Checked	ASP	06/16/2000
f. Sign OK	DTC	06/20/2000

BR549  
V55110 CONTAINED 25% CHRYSOTILE ASBESTOS.

28. Sample Submission No	BR549
9. Lab Sample No.	V 55110 BULK
Time / Type	0.0 Min / 6
10. Analyte Name	31. Analysis Results / 32. Samples included in Calculations of:
9020 Asbestos (all forms)	25.0000 % T BULK

Case File Page 1 of

OSHA-918 (Rev. 1/84) Sampling Number: 5

TWA calculated on actual time sampled. The I.M. is free to make changes on the Form 918 and submit them directly to IMIS.

UNITS OF MEASURE are:

- P = Parts per million
- F = Fibers per cubic centimeter
- X = Micrograms
- M = Milligrams per cubic meter
- % = Percent
- Y = Milligrams
- L = Milligrams per liter (urine)
- D = Micrograms per deciliter (blood)
- C = Pico curies per liter (Radon gas)

Analyte codes are chosen by the laboratory. The I.M. should review them for applicability. If there are any questions call the laboratory for appropriate analyte codes (ie. ICP uses four analyte codes when the IM may have sampled for just 1).

ND The results are below the detection limits.

Bulk samples are analyzed to provide an estimate of the composition of the material submitted. The results recorded should be considered semi-quantitative only.



A. Date Samples Collected	Mo	Da	Yr	B. Mine ID Number	C. Mine Name
	06	06	00		
D. Company Name				E. Inspector Name	F. AR Number
PRABODY Coal Company				ROBERT G. Smith	21751
G. Field Office No.					
21000					

H. Site Codes for: 1-AMU, 2-UG Shop, 3-UG Warehouse, 4-UG Other, 5-Surf Pit, 6-Surf Shop, 7-Surf Warehouse, 8-Laboratory, 9-Boothouse, 10-Prep Plant & 11-Surf Other.

I. Sampling Data	Sample 1	Sample 2	Sample 3
1. Sample ID Number	BR-549		
2. Type of Sample	Brake Pad - BULK		
3. Site Code	11 (Hoist)		
4. Occupation Code	N/A		
5. Pump Number	N/A		
6. Time Stop	N/A		
7. Time Start	N/A		
8. Total Time (minutes)	N/A		
9. Flow Rate	N/A		
10. Sample Medium	N/A		
11. Lot Number	N/A		
12. Temperature (°F)	N/A		
13. Humidity (%)	N/A		
14. Barometric Pressure (mmHg)	N/A		
J. Operation/Location	Hoist House Brake Pad from Hoist		
K. Type Analysis Desired	ASBESTOS		

LAB Number: 200010036

L. Special Instructions or Comments  
UMWA Representative requested ANALYSIS for Asbestos

M. Date Sample(s) Submitted for Analysis	Mo	Da	Yr
	06	06	00

BE SHARP  
VOTE BECKY SHARP  
WEBSTER CO. CLERK

3 1/2  
weeks  
stay

STEM CELL TRANSPLANT MARCH 6  
2001

SEPT. 2001 MRI LOUISVILLE COPY TO  
REGIONAL MEDICAL CENTER

APRIL 15 2002 MRI + X RAYS AT  
REGIONAL CENTER

Paid for by Becky Sharp, Sebree, KY

**MERLE M. MAHR CANCER CENTER**  
**RADIATION ONCOLOGY CONSULTATION**

NAME: RUTHERFORD, FRANK D.

HOSPITAL #: 2285781

DOB: 06/12/40

DATE OF VISIT: 05/06/99

DATE DICTATED: 05/06/99

DATE TRANSCRIBED: 05/06/99

REFERRING PHYSICIAN: Dr. Kluger

REASON FOR CONSULTATION: Possible plasmacytoma of the right posterior seventh or eighth rib mass, invading adjacent vertebra and chest wall.

HISTORY IN BRIEF: This 58 year-old, young white gentleman started noticing pain in his right paraspinal region about 2 years ago. The pain was a dull ache, constant, gradually getting worse, so he went to see family physician about a year ago and initial examination and chest x-ray were normal according to the patient. The patient remained under observation since then, however lately his pain was getting worse with increasing exercise intolerance and some shortness of breath. At times the pain was waking him up during the night time, so he went to see family physician, Dr. Holder who got chest x-ray. Chest x-ray showed right sided pleural based lung mass, suspicious for cancer and CT guided needle biopsy of the mass was performed which showed tight cluster of atypical plasma cells consistent with plasmacytoma. The patient was subsequently referred to Dr. Kluger for further work up. CT of the chest has shown large mass in posterior right hemithorax, pleural based, destroying the posterior aspect of two of the right lower ribs and extension of the mass outside the chest wall also noticed. Most of the mass was extending inward but does not invade in the lung parenchyma with some extension of the mass medially and destroying the right side of one of the vertebral bodies, extending into the spinal canal involving T8-9 vertebral body along the right half with extension into the pedicle and transverse process and posterior element as well as right chest and rib. Compromise in the neural foramina at the level of T7-8 and T8-9 noticed. Mild central stenosis at the T8 level with moderate T8-9 central stenosis found. With this finding, bilateral bone marrow biopsy has been done and now I have been asked to see the patient for consideration of radiation therapy treatment, evaluation for possible underlying plasmacytoma problem.

PAST MEDICAL HISTORY: Is significant for -

1. Hypertension since the last 4 years.
2. Hypercholesterolemia.

SOCIAL HISTORY: The patient denies any smoking or alcohol abuse.

FAMILY HISTORY: Unremarkable for cancer.

ALLERGIES: No known allergy.

RADIATION ONCOLOGY CONSULTATION

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**MERLE M. MAHR CANCER CENTER**  
**RADIATION ONCOLOGY CONSULTATION**  
**RUTHERFORD, FRANK D.**

Page 2

**REVIEW OF SYSTEMS:** The patient is a young white gentleman, conscious, cooperative, well developed, well nourished, not in any acute distress. He denies any headache, nausea, vomiting, wobbly gait, getting weak, tired, or bowel or urinary complaints. Denies any wheezing, shortness of breath, cough or hemoptysis. However chest pain in right paraspinous area posteriorly is still persistent and he needs to take pain medicine now and then. The patient denies any night sweats, fever, itching also. Denies any weight loss.

**PHYSICAL EXAMINATION:** All lymphatic drainage areas examined and no lymphadenopathy noticed.

**HEENT:** Pupils reactive to light. Conjunctiva pink. No oral or oropharyngeal lesions noted.

**Lungs:** Clear to auscultation, without any wheezing, crepitation, dull percussion noticed on the right mid lung posteriorly. No obvious chest or rib tenderness noticed on deep pressure or pounding.

**Heart:** Rate and rhythm regular.

**Abdomen:** Soft without hepatosplenomegaly.

**Extremities:** Without cyanosis or edema.

There is no tenderness on the spine or back.

**Neurological Examination:** Physiologically intact.

MRI of the spine and CT chest reviewed and findings as mentioned above. Plain chest x-ray has shown one of the rib destruction on the right lower side. Review of chart has shown platelet count of 1.4 within normal range. Oncology panel is within normal range including Chem-7 and calcium is also within normal range at 10.1. CBC shows hemoglobin of 14.5 with hematocrit of 42.8, white count of 6.6 thousand, platelet count of 205,000. Lymphocyte count of 37, slightly elevated.

**DIAGNOSIS:** Possible plasmacytoma, bilateral bone marrow biopsy results pending.

**DISCUSSION AND RECOMMENDATION:** Looking over blood chemistry, x-ray findings and only one known lesion, it seems to me the patient is probably having solitary plasmacytoma. The patient is not having any major symptoms except for local pain and discomfort in right paraspinous area, lower chest region posteriorly secondary to the rib destruction from underlying

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**MERLE M. MAHR CANCER CENTER**  
**RADIATION ONCOLOGY CONSULTATION**  
RUTHERFORD, FRANK D.


Page 3

plasmacytoma process in this area. In that case, if bone marrow biopsy report is negative for multiple myeloma, treatment of choice is radiation therapy treatment. If patient is found to have multiple myeloma on bone marrow biopsy, then combined chemo radiation will be helpful.

Education information material has been provided. All of the questions related to radiation were answered to the patient and family after 30 minutes of family conference. We will wait until final bone marrow biopsy report is available and then make a final decision for further treatment plan and approach.

Looking toward rib and vertebral destruction and tumor encroaching to the spinal cord causing mild to moderate stenosis and neuroforaminal destruction, the patient <sup>can't</sup> benefited with a course of radiation treatment. If it is multiple myeloma, then will go on low dose radiation, however if it is only solitary plasmacytoma, then high dose radiation will be helpful to control disease in this area locoregionally for prolonged time to improve the quality of life and possibility of chances of cure. Radiation therapy treatment role, goal, side effects have been discussed with the patient and family in detail. Final radiation dosage recommendation will be followed after bone marrow biopsy report available and will try to coordinate treatment care with medical oncologist.

Thank you for the opportunity to participate in the care and treatment of this patient.

  
\_\_\_\_\_  
Satish J. Shah, M.D. M.D.

SJS/sdp  
7242

cc: Kenneth Holder, M.D.  
Neil Kluger, M.D.

5/7/99

COPY

RADIATION ONCOLOGY CONSULTATION

**MERLE M. MAHR CANCER CENTER**  
**PROGRESS NOTE**

NAME: RUTHERFORD, FRANK D.

HOSPITAL #: 2285781

DOB: 06/12/40

DATE OF VISIT: 05/17/99

DATE DICTATED: 05/17/99

DATE TRANSCRIBED: 05/19/99

DIAGNOSIS: Plasmacytoma.

The patient is here to review the results of his recent bone marrow study done on 05/06/99. The bone marrow aspirate and biopsy is completely normal.

SUBJECTIVE: The patient reports he has been feeling tired and has been having frequent nausea with episodes of emesis. He reports the medications Dr. Shah has been giving him are helping him. He also reports he has been feeling too tired to drive. He also reports a lot of pain in his rib cage at the area of the plasmacytoma.

OBJECTIVE: The patient appears to be in some pain.

Vital signs: Blood pressure is 130/76. Pulse is 66. He is breathing at 18 per minute. He is afebrile. Weight is 193.3 pounds. This compares to a weight of 205 pounds on May the 6th.

The HEENT exam shows no scleral icterus. The conjunctivae are normal; pink in color. The oral mucosa and pharynx are without lesions.

The neck is supple; without lymphadenopathy.

The lungs are clear to auscultation with right side pain on deep inspiration. The right rib cage is tender posteriorly. There is no vertebral tenderness.

The cardiac exam shows a regular rate and rhythm.

The abdomen shows no distention or tenderness. No hepatosplenomegaly is appreciated.

The extremities show no edema.

ASSESSMENT: The patient's right-sided rib pain is secondary to his tumor. His nausea and vomiting are most likely secondary to the radiation. He is currently undergoing his second week of radiation as of today.

PLAN: I will leave his analgesia and antiemetic medications up to the radiation oncologist, Dr. Shah.

The patient reports, while he did go to work last week, he experienced a lot of back and right rib pain on the job and that he is currently feeling too tired to put in a full day's work, as well as being afraid he will not

PROGRESS NOTE

**MERLE M. MAHR CANCER CENTER**  
**PROCEDURE NOTE**

**NAME:** RUTHERFORD, FRANK D.

**HOSPITAL #:** 2285781

**DOB:** 06/12/40

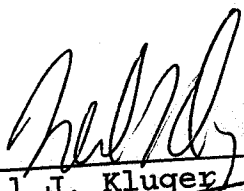
**DATE OF PROCEDURE:** 05/06/99

**DATE DICTATED:** 05/06/99

**DATE TRANSCRIBED:** 05/06/99

**PROCEDURE:** Bone marrow biopsy and aspirate.

Prior to doing the procedure and with the patient fully clothed, I explained to him the potential side effects and benefits of the bone marrow biopsy and aspirate. He appeared to understand this and he agreed to have the procedure done. Subsequently, I did a bilateral bone marrow aspirate and biopsy from both posterior superior iliac spines. The patient appeared to tolerate the procedure well. I will see the patient again in about a week to review the results with him. I have discussed his case with Dr. Shah, our radiation oncologist, who will be seeing the patient later today.

  
\_\_\_\_\_  
Neil J. Kluger M.D. M.D.

NJK/lkh  
7091

COPY

**MERLE M. MAHR CANCER CENTER**  
**RADIATION FOLLOW-UP NOTE**

NAME: RUTHERFORD, FRANK D.

HOSPITAL #: 2285781

DOB: 06/12/40

DATE OF VISIT: 05/07/99


DATE DICTATED: 05/07/99

DATE TRANSCRIBED: 05/08/99

This patient was seen in consultation yesterday. She came back today for radiation therapy treatment planning and further management. Bone survey was done yesterday, which has failed to show any lytic lesions involving the long bones or axial skeleton, except for 9th rib posterior destruction, along with soft tissue mass. No other abnormality noticed suggestive of multiple myeloma. Bilateral iliac crest bone marrow biopsies have shown about 1% of plasma cells. Iron is adequate and normal, and it seems to be completely normal bone marrow.

Findings have been informed to the patient. No other new complaints noticed. With these findings, it is more likely that patient is going to have solitary plasmacytoma. We are going to go ahead and finish complete staging workup, along with serum and urine immunoelectrophoresis. I will discuss the case with Dr. Kluger also.

With this, will consider starting radiation treatment on Monday, May 10, and will treat as a solitary plasmacytoma case unless immunoelectrophoresis shows anything, then plan will change, which I doubt. Once again, radiation therapy treatment, role, goals, side effects have been discussed with the patient in detail, which he understood it well and agreed to go with treatment. Simulation has been done today, and plan is to deliver close to ~~3000~~ 6,000 cGy in five to six weeks with shrinkage field technique via PA lateral wedge port technique.

  
\_\_\_\_\_  
Satish J. Shah, M.D.

5/10/99

SJS/ddw  
7459

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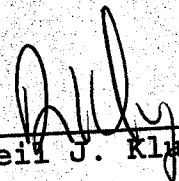
**MERLE M. MAHR CANCER CENTER**

**PROGRESS NOTE  
RUTHERFORD, FRANK D.**

Page 2

be able to drive the 20 miles or so back and forth to work.

He requests I complete a form for him regarding his disability and his inability to work. I told him this is perfectly reasonable based on his current medical condition, and that I will fill this form out for him.

  
\_\_\_\_\_  
Neil J. Klager, M.D. M.D.

NJK/sch  
0088

cc: Kenneth L. Holder, M.D.  
Providence Trover Clinic

Madisonville Trover Clinic

COPY

PROGRESS NOTE

**MERLE M. MAHR CANCER CENTER**  
**PROGRESS NOTE**

**NAME:** RUTHERFORD, FRANK D.

**HOSPITAL #:** 2285781

**DOB:** 06/12/40

**DATE OF VISIT:** 06/15/99

**DATE DICTATED:** 06/15/99

**DATE TRANSCRIBED:** 06/16/99

**DIAGNOSIS:**

1. Plasmacytoma/multiple myeloma.

**SUBJECTIVE:** The patient reports that this week he has been feeling well. His energy level is improved. He is eating better, and he is more active. He reports that his radiation therapy will be done on 6-21-99.

**OBJECTIVE:** He is in no distress and is cheerful. He looks pretty healthy. Vital signs: Essentially unremarkable. Weight 183.1 lbs which compares to a weight of 193.3 lbs on 5-17-99.

**HEENT:** Shows no scleral icterus. Conjunctivae is somewhat pale. Oral mucosa and pharynx without lesions. Neck is supple. No lymphadenopathy.

**LUNGS:** Clear to auscultation.

**CARDIAC:** Regular rate and rhythm.

**ABDOMEN:** Shows no distention or tenderness. No hepatosplenomegaly is appreciated.

**EXTREMITIES:** Show no edema.

**LABORATORY DATA:** Urine protein electrophoresis done on 5-19-99 shows a total amount of protein at 1,135 mg which compares to a normal range of less than 150. Serum protein immunoelectrophoresis done on the same date is positive for a monoclonal Friedlander light chain. On 6-10-99, hemoglobin was 12.1, platelet count was 201,000. White count was 5.6 with 82% segs and 10% lymphocytes.

**ASSESSMENT:** Multiple myeloma with a normal serum protein electrophoresis and serum protein immunoelectrophoresis with a free monoclonal Lambda light chain found in the urine, and plasmacytomas on x-ray exam. The patient has increased protein in the urine and a mild anemia.

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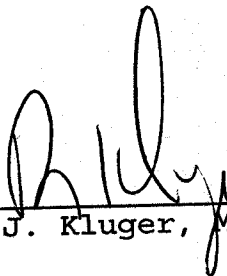
**PROGRESS NOTE**

**RUTHERFORD, FRANK D.**

**Page 2**

PLAN: I will see the patient again in several weeks to see how he is doing. I discussed chemotherapy with the patient and we have not come to a decision as to when we will begin chemotherapy.

NJK/pl1  
7367

  
\_\_\_\_\_  
Neil J. Kluger, M.D. M.D.

COPY

PROGRESS NOTE

RADIATION COMPLETION NOTE  
MERLE M. MAHR CANCER CENTER  
900 HOSPITAL DRIVE  
MADISONVILLE, KENTUCKY 42431  
(502) 825-5800

NAME: RUTHERFORD, FRANK D.

HOSPITAL #: 2285781

DOB: 06/12/40

REQUESTING DR: Neil Kluger, M.D.

DATE DICTATED: 06/24/99

DATE TRANSCRIBED: 06/28/99

Dear Dr. Dr. Kluger:

This 58 year-old, young white gentleman was found to have a mass causing pain and discomfort in right lateral lower rib cage area since the last few months. Further work up has revealed extraosseous plasmacytoma on biopsy and multiple myeloma was ruled out. Single lesion, following dose of high dose curative intent radiation treatment was planned and given to control this lesion, locoregionally and to provide possible cure. Not seeing good response through little over half the radiation, decision was made to go further with higher dose radiation than the standard radiation dosage required for plasmacytoma.

DATE STARTED: 5/10/99

DATE FINISHED: 6/24/99

FIELD SIZE: 17 x 13 cm PA right lateral port was utilized to right posterior chest wall rib cage area. The patient was treated isocentrically via wedge field technique to start with. After 4,000 cGy left interior oblique and right posterior oblique 16 x 12 and 5 cm port were utilized to treat this region of cord. After 5,000 cGy, 16 x 12 and 5 cm AP/PA port was utilized to treat the same area with blocking spinal cord also.

FRACTION SIZE: Throughout treatment 200 cGy per fraction, with planned updose was delivered with the use of megavoltage 18 mEv photon external beam, to the right lateral port, 6 mEv was utilized for PA port. For left internal oblique, 18 mEv photon was used, but right posterior oblique port was delivered via 6 mEv photon also. Differential energy was used to increase the radiation dosage in the tumor and minimizing adjacent surrounding normal heart, lung and other organs. The patient was treated with shrinkage field technique via multiple ports isocentrically and MLC was utilized to protect normal organs.

TOTAL DOSE: Total of 6600 cGy was delivered in 33 fractions over 43 days.

ANY BREAK IN THE TREATMENT: No.

RESPONSE TO THE TREATMENT: The patient has tolerated course of radiation treatment fairly well, except he was having nausea and vomiting, sick to stomach kind of feeling and loss of appetite which was making him quite weak and tired. It was felt to be an acid problem on radiation irritation to the stomach and EG junction, which remained under control with Zantac, Prilosec, Maalox and changing food. During treatment the patient has lost

RADIATION COMPLETION NOTE



**MERLE M. MAHR CANCER CENTER**  
**RADIATION COMPLETION NOTE**  
**RUTHERFORD, FRANK D.**


Page 2

18 pounds, but other vital signs and blood counts remained stable, except hemoglobin has dropped from 14.5 grams to 11.8 grams.

CONCLUSION: Above mentioned dosage is sufficient with curative attempt. Visual only partial response toward end of the treatment. Post radiation skin care and nutrition instructions have been given. The patient has been advised to follow with Dr. Kluger closely and I will see him again on follow up examination in one month. He is at high risk for progression in two of the multiple myeloma and that needs to be watched very closely.

Thank you for the opportunity to participate in the care and treatment of this patient.

Yours most sincerely,

  
Satish J. Shah, M.D.

SJS/sdp  
9714

cc: Kenneth Holder, M.D.  
Neil Kluger, M.D.

2/29/89

COPY

**MERLE M. MAHR CANCER CENTER**  
**PROGRESS NOTE**

**NAME:** RUTHERFORD, FRANK D.

**HOSPITAL #:** 2285781

**DOB:** 06/12/40

**DATE OF VISIT:** 07/07/99

**DATE DICTATED:** 07/07/99

**DATE TRANSCRIBED:** 07/08/99

**DIAGNOSIS:**

1. Plasmocytoma, multiple myeloma.

The patient is here to discuss beginning treatment for his multiple myeloma. The patient with his family have seen the Medical Oncologist on the Transplant Unit at the University of Louisville, Dr. Donald Fleming, and he is eligible to receive a bone marrow transplant. The question is should we start the four cycles of VAD now or should we wait until the patient has definite approval from his insurance company to go ahead with the bone marrow transplant.

I discussed this with the patient, his wife and his daughter. The patient wants to wait until we have definite approval from his insurance company for the bone marrow transplant before going ahead and beginning the VAD chemotherapy as I did tell the patient that since he is early stage, I would not be giving him VAD chemotherapy if he were not getting the transplant (I would not be giving him Melphalan and Prednisone at this time either).

So the patient will await approval from his insurance company before we begin chemotherapy with VAD. The patient has cancelled his appointment with me for the 22nd of this month but will keep his appointment with Dr. Shah. As soon as the patient hears from Dr. Fleming, he will call me or if I hear from Dr. Fleming first I will call the patient.

NJK/pl1  
2650

Neil J. Kluger, M.D.

COPY

PROGRESS NOTE

**MERLE M. MAHR CANCER CENTER**  
**PROGRESS NOTE**

NAME: RUTHERFORD, FRANK D.

HOSPITAL #: 2285781

DOB: 06/12/40

DATE OF VISIT: 06/24/99

DATE DICTATED: 06/24/99

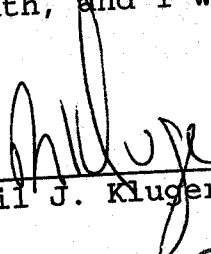
DATE TRANSCRIBED: 06/29/99

DIAGNOSIS: Plasmacytosis/multiple myeloma.

I asked the patient and his family to come see me today to discuss being evaluated for bone marrow transplant.

I had spoken with a transplanter at the University of Louisville, Dr. Don Fleming, earlier this week and he told me that he would be able to see the patient on Tuesday, June 29th. I discussed with the patient, his wife, and his grown son that bone marrow transplant seems to have the potential to prolong patients' lives more than chemotherapy alone. I explained that with a bone marrow transplant, very high doses of chemotherapy are given in an attempt to kill all the tumor cells but that the normal bone marrow cells are wiped out in addition and that "bone marrow" is then given back to the patient to repopulate the bone marrow. The patient asked me the prognosis for his multiple myeloma and I told him patients live an average of two to four years but that this is only an average and that since his disease seems to be at an early age that he may very well live considerably more than four years. I also told him that bone marrow transplant may have the potential to cure him. I told the patient and his family that I am not an expert in this matter and that's why I wanted them to meet with the transplanter, Dr. Fleming. I told them that they are not committed to go ahead with the bone marrow transplant because they meet with Dr. Fleming but that Dr. Fleming could discuss the bone marrow transplant with the patient and answer questions. I told the patient that it was because of his relatively young age that I thought he should be evaluated for the bone marrow transplant. The patient at first didn't want to see Dr. Fleming as he had heard bad things about bone marrow transplant. He did subsequently agree to meet with Dr. Fleming in Louisville on June 29th along with his wife and his grown son.

The patient will be seeing Dr. Shah next month, and I will see him on the same day Dr. Shah sees him.

  
Neil J. Kluger, M.D.

NJK/dyc  
9784

COPY

PROGRESS NOTE

**MERLE M. MAHR CANCER CENTER**  
**RADIATION FOLLOW-UP NOTE**

NAME: RUTHERFORD, FRANK D.

HOSPITAL #: 2285781

DOB: 06/12/40

DATE OF VISIT: 07/29/99

DATE DICTATED: 07/29/99

DATE TRANSCRIBED: 07/30/99

DIAGNOSIS: Plasma cytoma of the right posterior chest wall and rib cage destruction.

TREATMENT: Curative intent high dose radiation treatment completed June, 1999.

INTERVAL HISTORY: The patient is doing fine and has tolerated the entire course of radiation very well. He has recovered from radiation treatment side effects completely and is working full time, almost back to his normal work, except weight lifting part. He gone and seen Dr. Fleming at University of Louisville for consideration of bone marrow transplant and waiting for the insurance company's approval. The patient, himself, denies any night sweats, itching, weight loss, bowel or urinary complaints.

PHYSICAL EXAMINATION: VITAL SIGNS: Weight is 186 pounds. Other vital signs are within normal range. Performance status is at 90-100.

LYMPHATICS: All lymphatic drainage areas are examined and no lymphadenopathy noticed in the supraclavicular, axillary, inguinal, popliteal region.

LUNGS: Clear with slight diminished air entry in the right mid-lung posteriorly. Minimal skin changes are noticed in the radiation area. No wheezing or crepitation is noticed. The left lung exam is clear.

HEART: Rate and rhythm are regular.

ABDOMEN: Soft without hepatosplenomegaly or palpable mass.

BACK: There is no tenderness in the spine.

EXTREMITIES: Without cyanosis or edema.

NEUROLOGICAL EVALUATION: Physiologically intact.

ASSESSMENT: Good recovery from radiation side effects. He had tolerated radiation course fairly well.

PLAN: 1. Next follow up will be in three months.  
2. CBC, chemistry-13, LDH, and chest x-ray will be done today to evaluate radiation response.

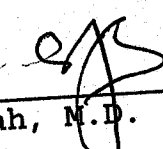
COPY

**MERLE M. MAHR CANCER CENTER**  
**RADIATION FOLLOW-UP NOTE**  
**RUTHERFORD, FRANK D.**

Page 2

3. The patient is being followed closely with Dr. Kluger and is scheduled to have 24 hour urine exam for protein scheduled in another month or so.
4. Upon next follow up, we may consider repeating chemistry or immunoelectrophoresis or urine for Bence Jones protein.

The patient has multiple questions about bone marrow transplant and he has been advised to discuss that issue with medical oncologist and Dr. Fleming. Since at the present time, he is having good response for extra osseous plasma cytoma further wait and watch can be feasible; however, the patient has been encouraged to discuss this issue with oncologist.

  
\_\_\_\_\_  
Satish J. Shah, M.D.

M.D.

SJS/afb  
8179  
cc:

Neil Kluger, M.D.  
Kenneth Holder, M.D.

COPY

RADIATION FOLLOW-UP NOTE

**MERLE M. MAHR CANCER CENTER**  
**PROGRESS NOTE**

**NAME:** RUTHERFORD, FRANK D.

**HOSPITAL #:** 2285781

**DOB:** 06/12/40

**DATE OF VISIT:** 08/24/99

**DATE DICTATED:** 08/24/99

**DATE TRANSCRIBED:** 08/26/99

**DIAGNOSIS:** Plasmacytoma/multiple myeloma.

**TREATMENT:** The patient is status post curative intent high dose radiation treatment, completed July 1999 to the posterior chest wall and rib cage.

The patient is here for review of recent laboratory tests and for discussion of bone marrow transplant.

24 hour urine done on 8/9/99 is 149, which is less than 150, meaning it is within the normal range. Previous 24 hour urine, which had been obtained on 5/20/99, prior to the patient receiving radiation treatment, had been 1,135 mg in 24 hours. Urine protein electrophoresis on a May 20 specimen showed abnormal Gamma band showing 34 mg of protein over 24 hours, which was felt to represent either monoclonal immunoglobulins and/or light chains. Immunofixation analysis of that urine specimen showed a monoclonal free Lambda light chain. On the specimen from 8/9/99, a monoclonal free Lambda light chain was seen.

**SUBJECTIVE:** The patient reports that in general he feels well. He reports that his appetite is good and he is active. He is back to work at his usual job, running a hoist at the mine. He continues to have some back pain which the patient reports he has been told by Dr. Shah is related to his multiple myeloma. He reports no fever or chills, nausea or vomiting. He reports no headache, light headedness or dizziness. He reports no dysuria, pyuria or inability to urinate.

**OBJECTIVE:** He is cheerful, looks healthy, and is in no distress.

**Vital Signs:** These were essentially unremarkable, the patient's weight is 190.8 pounds and this compares with a weight of 181.6 pounds on July 7.

**HEENT:** Shows no scleral icterus. Conjunctivae normal, pink in color. Oral mucosa and pharynx are without lesion. Neck: Supple. No lymphadenopathy is appreciated. No thyromegaly is appreciated.

**Lungs:** Clear to auscultation.

**Cardiac:** Shows a regular rate and rhythm.

**Abdomen:** Shows no distention or tenderness. No hepatosplenomegaly is appreciated.

**Extremities:** Show no edema.

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PROGRESS NOTE

**MERLE M. MAHR CANCER CENTER**

**PROGRESS NOTE**

**RUTHERFORD, FRANK D.**

**Page 2**

**ASSESSMENT:** The patient is doing well clinically. He has had a dramatic improvement in his 24 hour urine protein excretion, most likely related to the radiation treatment he received for his plasmacytoma/multiple myeloma.

**PLAN:** The patient and his wife are seeing another cancer specialist in Evansville for a second opinion regarding bone marrow transplant. The patient does not really want to have the bone marrow transplant now as he is feeling so well. I asked the patient to have the physician doing the second opinion to please send me a copy of his office note. I will call Dr. Fleming at the University of Louisville to discuss the patient's bone marrow transplant. The patient would like to wait until the tumor progresses before going ahead with the transplant. I think this is a reasonable course of action.

\_\_\_\_\_  
Neil J. Kluger, M.D. M.D.

NJK/sdp  
4879\*

PROGRESS NOTE

COPY

**MERLE M. MAHR CANCER CENTER**  
**PROGRESS NOTE**

**NAME:** RUTHERFORD, FRANK D.

**HOSPITAL #:** 2285781

**DOB:** 06/12/40

**DATE OF VISIT:** 10/28/99

**DATE DICTATED:** 10/28/99

**DATE TRANSCRIBED:** 10/29/99

**DIAGNOSIS:** Plasmacytoma of the right posterior chest wall and rib cage destruction.

**TREATMENT:** Curative intent high dose radiation treatment completed in June '99.

**INTERVAL HISTORY:** The patient went for second opinion at Evansville with Dr. Ballou, and he has recommended to remain under observation and upon failure consider high dose chemotherapy. The patient was very satisfied with no going through bone marrow transplant. He, at the present time, denies any increasing chest pain, shortness of breath, or cough. Chest soreness has completely subsided. Cough and mild shortness of breath remained persistent and unchanged. His performance status is at 100 and back to full time normal work. He denies any other bony pain, fever, bowel or urinary complaints. Weight is 194 pounds. Other vital signs are within normal range.

**CLINICAL EXAM:** There is no lymphadenopathy in the head and neck, supraclavicular, axillary, inguinal, or popliteal region.

**LUNGS:** Exam is clear. Minimal skin changes are noted in the treatment area. There is no tenderness noticed in the treatment area, and discomfort has completely subsided. Lung exam is clear and still slight diminished air entry noticed in the right mid lung region posteriorly.

**HEART:** Rate and rhythm regular.

**ABDOMEN:** Soft without organomegaly or masses.

**BACK:** There is no tenderness in the back.

**LABORATORY DATA:** CBC done today has shown hemoglobin of 13.9 with hematocrit of 41.2, white count of 4.7 thousand, platelets of 187,000, within normal range.

**ASSESSMENT:** Clinically, the patient's disease is in remission. Chest x-ray will be done today. Next follow up with us will be in six months. He

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PROGRESS NOTE



**MERLE M. MAHR CANCER CENTER**

**PROGRESS NOTE**

**RUTHERFORD, FRANK D.**

**Page 2**

has been advised to continue following closely with Dr. Kluger and since he is doing the necessary blood tests, we will not repeat these at this time.

  
\_\_\_\_\_  
Satish J. Shah, M.D.

M.D.

SJS/dyc

2561

cc: Neil J. Kluger, M.D.

11/5/99

COPY

**MERLE M. MAHR CANCER CENTER  
PROGRESS NOTE**

**NAME: RUTHERFORD, FRANK**

**UNIT #: 10000002835**

**DOB: 06/12/40**

**DATE OF VISIT: 12/21/99**

**Dictated: 12/21/99**

**TRANSCRIBED: 01/07/00**

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**DIAGNOSIS: Plasmacytoma.**

**TREATMENT: High dose radiation.**

**SUBJECTIVE:** The patient reports that in general he feels well. He reports that if he does physical labor such as lifting, he gets back pain in the area of the radiation. He reports his appetite is good and he is eating well. He reports no fevers, chills, nausea, or vomiting.

**OBJECTIVE:** He is in no distress. Vital signs are essentially unremarkable. His weight is 192 lb and this compares with a weight of 190 lb on August 24.

**HEENT:** No scleral icterus. Conjunctivae are unremarkable. Oral mucosa and pharynx are without lesion.

**Neck:** Supple without lymphadenopathy.

**Lungs:** Clear to auscultation.

**Cardiac:** regular rate and rhythm.

**Abdomen:** No distension or tenderness. No hepatosplenomegaly is appreciated.

**Extremities:** No edema. The patient has some mild tenderness in the back at the area of the plasmacytoma.

Today's laboratory work shows a Beta-2 microglobulin down to 1.8 from the previous level of 2.6. The IgA and IgM levels are within the normal range. The IgG is 995 which is a little higher than the previous value though clearly within the normal range which is 694 to 1618.

**PROGRESS NOTE**

**COPY**

**MURKLE M. MAHR CANCER CENTER  
PROGRESS NOTE**

**RE:** RUTHERFORD, FRANK

**DOB:** 06/12/40

Urine for 24-hour total protein had been turned in by the patient but was misplaced by the Laboratory.

**ASSESSMENT:** The patient is doing well clinically with no evidence of disease recurrence.

**PLAN:** I will get a CBC today. If this is unremarkable, I will see the patient again in four months and will repeat the beta-2 microglobulin, quantitative immunoglobulins, and CBC at that time.

  
\_\_\_\_\_  
NEIL J. KLUGER, M.D.

NJK/lkh

6982

cc: Dr. Kenneth Holder

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MMMCC - Progress note

PAGE 2 OF 2

**MERLE M. MAHR CANCER CENTER  
PROGRESS NOTE**

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NAME: RUTHERFORD, FRANK DAVIS

UNIT #: 2285781

DOB: 06/12/40

DATE OF VISIT: 04/11/00

DICTATED: 04/11/00

TRANSCRIBED: 04/26/00

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DIAGNOSIS: Plasmacytoma.

TREATMENT: High dose radiation.

SUBJECTIVE: The patient reports that he continues to feel well. He reports that his appetite is good and he is eating well. He reports that he continues to work full-time at a job that does not involve any physical labor. He does report that he is active, both inside and outside of his house. He reports that his appetite is good and he is eating well. He reports no fevers, chills, or night sweats. He reports no headache, lightheadedness or dizziness. He is having no visual disturbance, sinus pain, or mouth pain. He is having no neck pain. He is having no shortness of breath or heart palpitations. He is having no abdominal pain, nausea or vomiting, melena or hematochezia. He is having no focal weakness or sensory disturbance.

OBJECTIVE: The patient is in no distress and looks healthy.

VITAL SIGNS: Blood pressure is 138/74. Pulse is 75. He is breathing at about 20 per minute. He is afebrile. His weight is 197.5 pounds, which is essentially stable.

HEENT: The HEENT exam shows no scleral icterus. The conjunctivae are unremarkable. The oral mucosa and pharynx are without lesions. The sinuses are nontender.

NECK: The neck is supple without lymphadenopathy. There is no jugular venous distention.

LUNGS: The lungs are clear to auscultation.

CARDIAC: The cardiac exam shows a regular rate and rhythm without any murmur or S3.

ABDOMEN: The abdomen shows no distention or tenderness. No hepatosplenomegaly is appreciated. No abdominal masses are appreciated.

**PROGRESS NOTE**

**COPY**

ML. LE M. MAHR CANCER CENTER  
PROGRESS NOTE

RE: RUTHERFORD, FRANK DAVIS

DOB: 06/12/40

EXTREMITIES: The extremities show no edema.

NEUROLOGICAL: Neurologically, he appears to be grossly intact.

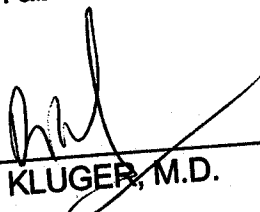
LABORATORY: Urine submitted on 04/10 shows a normal amount of protein in a 24-hour specimen.

A CBC obtained on 04/04 shows a platelet count of 145,000 and a white count of 5.1 with 70% segs and a hemoglobin of 14.4. Back on December the 21<sup>st</sup>, his platelet count was 190,000. It was about 185,000 in October. In June, it was 201,000 and in May, it was 208,000.

ASSESSMENT: Clinically, the patient is doing very well without any evidence of multiple myeloma. I do have some concern about his decreasing platelet counts. Could this be secondary to progression of his plasma cell dyscrasia, either through replacement of bone marrow with plasma cells or through ITP?

I discussed this with both the patient and his wife.

PLAN: I will obtain a CBC every two weeks, and the patient will call me two days afterwards to discuss the results. I will see the patient in about eight weeks.

  
NEIL J. KLUGER, M.D.

NJK/sch  
8136  
cc:

KENNETH L. HOLDER, M.D.  
PROVIDENCE TROVER CLINIC  
MADISONVILLE TROVER CLINIC

MMMCC- PROGRESS NOTE

COPY  
PAGE 2

**MERLE M. MAHR CANCER CENTER**  
**RADIATION FOLLOW - UP NOTE**

NAME: RUTHERFORD, FRANK DAVIS

UNIT #: 2285781

DOB: 06/12/40

DATE OF VISIT: 04/13/00

DICTATED: 04/13/00

TRANSCRIBED: 04/26/00

**DIAGNOSIS:** Extrasosseous plasmocytoma of right chest wall posterior and rib cage destruction.

**TREATMENT:** Curative intent high dose radiation treatment completed June, 1999.

**INTERVAL HISTORY:** The patient came back and is doing fine except he has a slight drop in blood count performed by Dr. Kluger. The patient denies increasing shortness of breath, cough, or chest pain. However, he complained about soreness, especially when lifting something or doing strenuous work. That may be secondary to rib destruction which has been caused by tumor and muscle pulling effect. He denies any increasing shortness of breath, cough, chest pain, or hemoptysis. Appetite is good. Weight is 197 pounds and stable. Other vital signs are within normal limits.

**PHYSICAL EXAMINATION: LYMPHATICS:** There is no lymphadenopathy in the head, neck, supraclavicular or axillary area.

**LUNGS:** Lung exam is clear on auscultation. No wheezing or crepitation is noticed.

**HEART:** Rate and rhythm are regular. Minimal skin changes are noticed in the treatment area.

**ABDOMEN:** Soft without organomegaly and masses.

**EXTREMITIES:** Without cyanosis or edema. There is no tenderness in the back. Mild discomfort tenderness is notice in the previous treatment area.

**ASSESSMENT:** Disease is in remission.

**PLAN:**

1. Chest x-ray to be done today to evaluate further response.

**RADIATION FOLLOW - UP NOTE**

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**MERLE M. MAHR CANCER CENTER  
RADIATION FOLLOW - UP NOTE**

**RE: RUTHERFORD, FRANK DAVIS**

**DOB: 06/12/40**

2. Next follow up with us will be in six months or sooner than that if the patient is having any problem.

*SJS*  
\_\_\_\_\_  
SATISH J. SHAH, M.D.

*4/27/00*

SJS/afb

8747

CC: Neil Kluger, M.D.  
Kenneth Holder, M.D.

**RADIATION FOLLOW - UP NOTE**

**COPY**

**PAGE 2**

**MERLE M. MAHR CANCER CENTER  
PROGRESS NOTE**

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NAME: RUTHERFORD, FRANK DAVIS      UNIT #: 2285781  
DOB: 06/12/40      DATE OF VISIT: 06/06/00  
DICTATED: 06/06/00      TRANSCRIBED: 06/15/00

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DIAGNOSIS: Plasmacytoma.

TREATMENT: High-dose radiation.

SUBJECTIVE: The patient reports that the pain at the radiation site has been getting steadily less. This is at the right posterior chest wall and rib cage. He reports no pain on inspiration. Only certain movements may give him some pain. This is clearly getting better. He has no shortness of breath with exertion. He has no fatigue. He actually reports that his energy level is increasing. He is having no headache, light-headedness, or dizziness. He is having no mouth sores or odynophagia. He is having no neck pain. He is having no abdominal pain, nausea, vomiting, melena, or hematochezia. He is having no focal weakness.

OBJECTIVE: He is in no distress, looks healthy. Vital signs are essentially unremarkable. His weight is not recorded today.

HEENT: Examination shows no scleral icterus. Conjunctivae are unremarkable. Sinuses are non-tender. Oral mucosa and pharynx are without lesions.

NECK: Supple without lymphadenopathy.

LUNGS: Clear to auscultation.

CARDIAC: Regular rate and rhythm without any murmur or S3.

ABDOMEN: No distention or tenderness. No hepatosplenomegaly is appreciated. No abdominal masses are appreciated. His inguinal area shows no adenopathy.

EXTREMITIES: No edema.

NEUROLOGICAL: He is grossly intact.

THORAX: Examination shows no tenderness to palpation at the area of radiation.

**PROGRESS NOTE**

**COPY**



**ML. LE M. MAHR CANCER CENTER**  
**PROGRESS NOTE**

**RE:** RUTHERFORD, FRANK DAVIS

**DOB:** 06/12/40

Today's CBC—Hemoglobin 14.5, platelet count 161,000, white count 5.2 with 66% segs, 26% lymphocytes, 6% monocytes, 2% eosinophils, and 1% basophils.

**ASSESSMENT:** The patient is doing well clinically without any evidence of disease recurrence.

**PLAN:** I will obtain a CBC q month, and I will see him in three months.

**ADDENDUM:** The patient asks me about the association of asbestos with multiple myeloma, as apparently there is some asbestos exposure on his job. I told him that I didn't think there was any association but that I would look into it this weekend. He will call next week to find out what I learned.

  
NEIL J. KLUGER, M.D.

NJK/ddw  
4982/4984  
cc: Dr. Holder

COPY

MMMCC- PROGRESS NOTE

PAGE 2



# Regional Medical Center

900 Hospital Dr. • Madisonville, KY 42431  
(502) 825-5100

Your Total Health Care System

Tue May 11, 1999 03:45 pm  
Bone Marrow Report

Clinical Services • Hospital Services  
Medical Education • Managed Care  
Property and Development

Patient: RUTHERFORD, FRANK DAVIS  
Unit#/Acct#: A2285781/A9912500224  
Location: MC  
Attending Phys: KLUGER, NEIL J  
Ordering Phys: KLUGER, NEIL J

Age: 58Y 06/12/40 Sex: M  
Case#: B99-29  
Accn#: 500958  
Completed: 05/11/99 1545  
Received: 05/06/99 1307  
Collected: 05/06/99 1306

\*\*\*\*\* BONE MARROW WITH BIOPSY \*\*\*\*\*

Specimen(s):  
A. Bone Marrow-Right  
B. Bone Marrow-Left

Clinical Diagnosis: Bone marrow

Surgeon: Kluger, N.

### Gross Description:

The specimen consists of a bone marrow biopsy submitted for review.

### Microscopic Description:

Peripheral blood: Normochromic-normocytic red cells exhibiting mild anisocytosis. There are 6,600 white cells with the following differential count: 52% segs, 3% bands, 34% lymphocytes, 7% monocytes, 1% basophils and 2% atypical lymphocytes. There is no significant morphologic or structural abnormalities of all cell lines. Other automated and manual values include a RBC of 4.58, hemoglobin of 14.5, hematocrit of 42.8, MCV of 93.3, MCH of 31.6, MCHC of 32.8, platelets of 205,000 and absolute reticulocyte count of 1.4%.

Bone marrow aspirate: Overall cellularity within normal limits, the M:E ratio of about 7:1, both cell lines maturing in an orderly fashion. Plasma cells are mature and comprise less than 1% of the cell population. Megakaryocytes are ample surrounded with budding platelets from the periphery. The marrow iron store is 2+ and no pathologic ringed sideroblasts noted.

Bone marrow biopsy: Normocellular marrow without evidence of fibrosis, granulomas or metastatic deposits.

Bone Marrow Report  
Final

(Continued on next page)  
Laboratory

J.B. SEDLAK M.D. MEDICAL DIRECTOR

RUTHERFORD, FRANK DAVIS  
A2285781/A9912500224

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TROVER  
FOUNDATION



Regional Medical Center

900 Hospital Dr. • Madisonville, KY 42431  
(502) 825-5100

Your Total Health Care System

Tue May 11, 1999 03:45 pm  
Bone Marrow Report

Clinical Services • Hospital Services  
Medical Education • Managed Care  
Property and Development

Patient: RUTHERFORD, FRANK DAVIS  
Unit#/Acct#: A2285781/A9912500224  
Location: MC  
Attending Phys: KLUGER, NEIL J  
Ordering Phys: KLUGER, NEIL J

Age: 58Y 06/12/40 Sex: M  
Case#: B99-29  
Accn#: 500958  
Completed: 05/11/99 1545  
Received: 05/06/99 1307  
Collected: 05/06/99 1306

\*\*\*\*\* BONE MARROW WITH BIOPSY \*\*\*\*\*  
(Continued)

Diagnosis:

Normal marrow with adequate iron stores.

trm

Diagnostic Code: 2

Pathologist: Roberto P. Corpus M.D.

Bone Marrow Report  
Final

Laboratory  
J.B. SEDLAK M.D. MEDICAL DIRECTOR

COPY  
RUTHERFORD, FRANK DAVIS  
A2285781/A9912500224

TROVER  
FOUNDATION



# Regional Medical Center

900 Hospital Dr. • Madisonville, KY 42431  
(502) 825-5100

Your Total Health Care System

Wed Apr 28, 1999 09:58 am  
Surgical Pathology Report

Clinical Services • Hospital Services  
Medical Education • Managed Care  
Property and Development

Patient: RUTHERFORD, FRANK DAVIS  
Unit#/Acct#: A2285781/A9911300090  
Location: SDS  
Attending Phys: HOLDER, KENNETH  
Ordering Phys: LUNDQUIST, CRAIG

Age: 58Y 06/12/40 Sex: M  
Case#: 999-3093  
Accn#: 494852  
Completed: 04/28/99 0959  
Received: 04/23/99 1533  
Collected: 04/23/99 1532

\*\*\*\*\* TISSUE EXAM \*\*\*\*\*

Specimen(s): A. Lung Biopsy-R Lung Mass

664-2580

Clinical Diagnosis: Lung biopsy

Surgeon: Lundquist, C.

### Gross Description:

The specimen consists of an irregularly shaped pinkish-tan tissue measuring 0.5 cm in greatest dimension. All embedded.

### Diagnosis:

Biopsy, right lung mass:  
Tight clusters of atypical plasma cells consistent with plasmacytoma.

NOTE: The following stains were performed: LCA negative, EMA focally positive, CD-30 negative, Kappa negative and lambda strongly positive.  
trm

Diagnostic Code: 9

Pathologist: Roberto P. Corpus M.D.

Surgical Pathology Report  
Final

RUTHERFORD, FRANK DAVIS  
A2285781/A9911300090

Laboratory  
J.B. SEDLAK M.D. MEDICAL DIRECTOR

COPY

Regional Medical Center Patient Care Patient Inquiry (LAB/RAD) Processor

Wed Apr 28, 1999 03:51 pm

Unit #	Name	Sex	Birthdate	Room	Physician	Srv	Status
A2285781	RUTHERFORD, FRANK M	M	06/12/1940		HOLDER, KENNET	19	SDS HSC
Case#: S99-3093			Acct #: A9911300090				Blocks: 1

Accession # 494852 TISSUE EXAM

Specimen: Lung Biopsy-R Lung Mass  
Viewing Result: Diagnosis

Collected: 04/23/99 1532

Biopsy, right lung mass:  
Tight clusters of atypical plasma cells consistent with plasmacytoma.

NOTE: The following stains were performed: LCA negative, EMA focally positive, CD-30 negative, Kappa negative and lambda strongly positive.  
trm

1	2	3	4Scn	5Scn	6	7	8	9	1	1	1	1	1	1End
			Fwd	Bck					0	1	2	3	4	5Vw

Wed 5-5-99 @ 2:00  
Luther 2:00  
Big Stone  
Med. list

81-5800

Appt. to Dr. Kluger Mon or Tues. A.M.

MRI spine Today - Saturday if possible

→ Plasma cytoma  
= possible Sp. Cord Compres

file  
4-30-99  
@ 3:30  
9:30

COPY

8780

*Chak*

04/23/99 1419

RUTHERFORD, FRANK DAVIS58Y 06/12/40 M MR#: 2285781  
DIS - SDS HOLDER, KENNETH

Chk-in # Order Exam 2532 CT-BASIC CHEST  
172141 0003

Contrast/Med : NONE

Clinical Information: Chest wall mass.

Findings: Scans were obtained through the chest and upper abdomen at 8 mm intervals with 8 mm slice thickness. No intravenous contrast was administered. There are no prior examinations for comparison.

The lung windows show some compressive atelectasis adjacent to a large mass in the posterior right hemithorax. No other parenchymal abnormalities are seen. The heart is normal in size. There is no hilar, mediastinal or axillary adenopathy. The left pleural space is clear. There is no fluid within the right pleural space. There is a large mass posteriorly in the right lower hemithorax. This is destroying the posterior aspect of two of the right lower ribs. There is some extension of this mass outside of the chest wall. The majority of the mass extends inward but does not clearly invade the level of the inner chest wall. There is some extension of this mass medially and it is destroying the right side of one vertebral body and extending into the spinal canal. If indicated, an MRI scan may be of benefit to further evaluate this mass; however, these are felt to be calcific densities within this mass rather than calcifications arising from portions of the destroyed rib. At its most superior aspect, there is a small dense c<sup>o</sup>ncification which could represent a granuloma within the adjacent l .g. There is a small low density focus within the left kidney which is too small to characterize by CT criteria but statistically most likely represents a simple cyst. There is a very low density mass

(Continued)  
RMC-MADISONVILLE, KY.  
Radiology Report

FINAL

FIR

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88%

15026675956

MAY-04-1999 15:10

Chart

RUTHERFORD, FRANK DAVIS 58Y 06/12/40 M MR#: 2285781  
DIS - OP HOLDER, KENNETH

04/30/99 1527

Chk-in # Order Exam MRI-BC-THORACIC SPINE W/&W/O CONT  
173111 0002 1544

Multipanar/multisequence pre and post contrast MRI imaging of the thoracic spine was performed on this 58-year-old male with history of plasmacytoma.

There is a large mass involving the T8 and T9 vertebral bodies extending out the neural foramen and also filling those neural foramen at the T8-9 and T9-10 levels with tumor and resulting in severe stenosis there. This is involving the right side of the vertebral bodies and extending into the adjacent pedicles and transverse processes, as well as the ribs. There is also a large mass extending into the right chest.

At the T8 level, there is mild central stenosis due to the tumor and this increases slightly to cause moderate stenosis of the T8-9 disc space level. There is a normal appearing cord in caliber and signal though without evidence of myelomalacia or ischemia or infarction of the cord itself. This tumor enhances avidly.

IMPRESSION: Extensive tumor involving the T8 and T9 vertebral bodies along the right half with extension into the pedicles and transverse processes and posterior elements, as well as the right chest and ribs. There is significant compromise of the neural foramen at those levels with tumor filling the neural foramen on the right of T7-8 and T8-9 levels. In addition, there is mild central stenosis at the T8 level with moderate T8-9 central stenosis.

2. No evidence for cord abnormality at this time.

Job #5728

SW /READ BY/ GREGORY J LAWLER M.D. /Released By/ GREGORY J LAWLER M.D.

INAL

RMC-MADISONVILLE, KY.  
Radiology Report

*for copy to Dr. Kling - please*  
*Kling*

*COPY*

MC  
RUTHERFORD, FRANK DAVIS58Y 06/12/40 M MR#: 2285781  
MC KLUGER, NEIL J

05/06/99 0831

Checkin-Exam Code Summary  
173825-1170

Views of the right tibia and fibula show no abnormality.

Views of the left tibia and fibula show no abnormality.

Views of the right forearm show no abnormality.

Views of the left forearm show no abnormality.

AMM /READ BY/ Philip C. Trover M.D.  
/Released By/

PRELIM

DUPLICATE

RMC-MADISONVILLE, KY.  
Radiology Report

COPY



205  
238

Regional Medical Center  
Merle Mahr Cancer Center  
Radiation Oncology Department  
PRESCRIPTION PAGE

Age \_\_\_\_\_ Sex M (X) F ( )  
Referring Physician Dr. Klueger Primary DR \_\_\_\_\_  
Address \_\_\_\_\_  
Phone Home \_\_\_\_\_ Office \_\_\_\_\_  
Diagnosis plasmacytoma Bone marrow bx -

Stage I II III IV TNM Curative (X) Palliation ( ) Prophylactic ( )

Prior RT	<input checked="" type="checkbox"/> NO	YES	<input checked="" type="checkbox"/> RMC	<input type="checkbox"/> OTHER
Treatment Course No:			<u>I</u>	<u>I</u>
Field Numbers			<u>Ia - Ib</u>	<u>Ia - Id</u>
Date			<u>5/7/99</u>	<u>5/7/99</u>
Anatomic Site			<u>(R) post. chest wall &amp; ribs Tx-9</u>	<u>(R) post. chest wall &amp; ribs Tx-9</u>
Technique/ Field Arrangement			<u>PA: (R) lat: 1:1</u>	<u>PA: RPO: 1:1</u>
Beam Energy			<u>6X/18X</u>	<u>6X/18X</u>
Rx Point/Depth/Isodose			<u>isocentric</u>	<u>isocentric</u>
Dose/fx/Day			<u>200 cGy/fld</u>	<u>200 cGy/fld</u>
# Fractions			<u>20</u>	<u>5</u>
Dose Prescribed			<u>4000</u>	<u>1000</u>
Cumulative Dose			<u>4000</u>	<u>5000</u>
Comments (Complete, Reassess, Modify Field, Break)			<u>go off card.</u>	<u>Eval to go higher.</u>
Special Devices Bolus, Wedges Compensator, Etc.			<u>mLC/wedges</u>	<u>mLC</u>
CBC			<u>once/wk</u>	
Diet Consult			<u>No/Gen. Info/Special Diet</u>	<u>High P &amp; C diet</u>
Additional Point Dose Calculation			<u>card</u>	<u>card</u>
Isodose			<u>yes</u>	<u>yes</u>
M.D. Signature			<u>SB</u>	<u>SB</u>
Date/Time			<u>5/7/99 1:30 PM</u>	<u>5/7/99</u>
Start Date			<u>5/10/99</u>	<u>6/2/99</u>
Special Instructions	<u>- treatment planning CT at time of next card read.</u>			

**COPY**

Addressograph

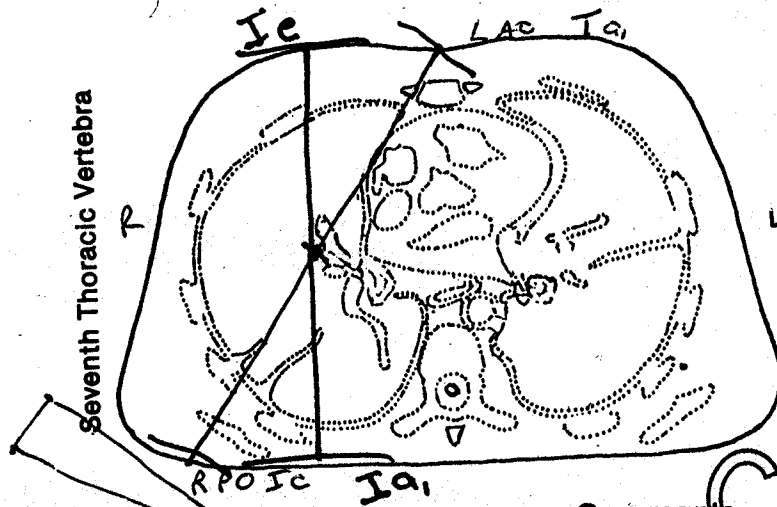
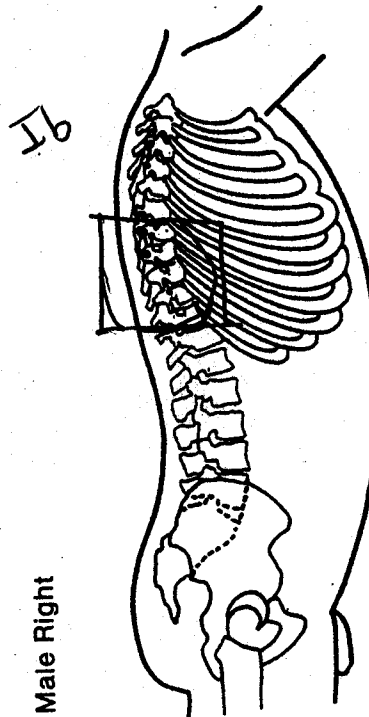
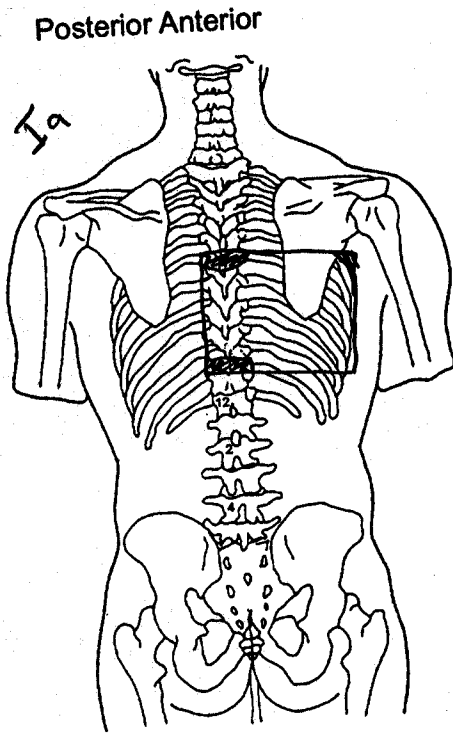
22-85-78-1  
RUTHERFORD, FRANK 6-12-40

ANATOMICAL AREA

*Ia*  
*Ia*  
Rt. Post Chestwall Boost

# Merle Mahr Cancer Center Radiation Oncology Flowsheet DIAGRAMS

Course #



Final Chart Check

Date/Signature

Comments

M.D.	<i>[Signature]</i> 6/24/98	<b>COPY</b>
Physicist	<i>[Signature]</i> 6/24/98	

	Ia	Ib	Ia
ANATOMICAL AREA	(R) Post Challenging		(R) Post PA
FIELD DIRECTION/NO.	PA	RtLat	PA
FIELD SIZE	17 x 13	12 x 13	17 x 13
CONE SIZE	19.5		
SSD/T.H./ARCS	92.5 TH 20.4	90.5	92.5
COLL. ANGLE	0	0	0
GANTRY ANGLE	0	90	0
COUCH ANGLE	-	-	-
BLOCKS	MLC	MLC	MLC
WEDGE/BOLUS	30° rt	30° lt	45° rt
ENERGY	6X		6X
MU	157	157	178
DATE			
START/STOP ANGLE			
SET-UP	Blue Prone pillow ankles #1	ALTERNATE WEDGES DUAL ENERGY	

NO.	DATE	TIME	TECH	MU	W	BL	B	PF	MU	W	BL	B	PF	MU	W	BL	B	PF
1	5 10 99	1337	SK	157	30R	✓		✓	157	30L	✓		✓	178	45R	✓		
2	5 11 99	930	QOB															
3	5 12 99	909	JM	157	30R	✓			157	30L	✓			178	45R	✓		
4	5 13 99	914	JM															
5	5 14 99	857	MT	157	30R	✓			157	30L	✓			178	45R	✓		✓
6	5 17 99	900	JM					✓	157	30L	✓		✓					
7	5 18 99	932	PC	157	30R	✓			157	30L	✓			178	45R	✓		
8	5 19 99	1111	OB															
9	5 20 99	906	JM	157	30R	✓			157	30L	✓			178	45R	✓		
10	5 21 99	914	NS															
11	5 24 99	912	NS	157	30R	✓			157	30L	✓			178	45R	✓		
12	5 25 99	904	NS															
13	5 26 99	901	NS	157	30R	✓			157	30L	✓			178	45R	✓		
14	5 27 99	900	OB															
15	5 28 99	902	OH	157	30R	✓		✓	157	30L	✓		✓	178	45R	✓		
16	6 1 99	929	SB															
17	6 2 99	849	SB	157	30R	✓			157	30L	✓			178	45R	✓		
18	6 3 99	914	NS															
19	6 4 99	900	JM	157	30R	✓			157	30L	✓			178	45R	✓		
20	6 7 99	921	SB															
21	6 8 99	1351	JM		15		0		0									
22	6 9 99	939	PC															
23	6 10 99	901	PC															
24	6 11 99	857	PC															
25	6 14 99	913	WB															
26																		
27																		
28																		
29																		
30																		
31																		
32																		
33																		
34																		
35																		

COPY

Ib

Iox C

Id

heshel + ribs

Post Chestwall + Ribs

Rt Lt  
12 X 13

LAD  
16 X 12.5

RPO  
16 X 12.5

90.5

AP Set-up 88.5 87

87

0

35.6

4

90

30

210

MLC

MLC

MLC

45° Lt

15° Rt

6X

232 121

113 108

158 169

with wedges

6/9

6/9

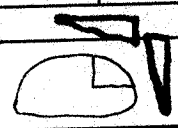
Supine  
Wingboard "C"  
Crimp up

Knapsack



NO	MU	W	BL	B	PF	MU	W	BL	B	PF	MU	W	BL	B	PF	MU	W	BL	B	PF	
1	121																				
2	232	45L																			
3																					
4	232	45L																			
5																					
6	232	45L																			
7																					
8	232	45L																			
9																					
10	232	45L																			
11																					
12	232	45L																			
13																					
14	232	45L																			
15																					
16	232	45L																			
17																					
18	232	45L																			
19																					
20	232	45L																			
21	1005					113				55	158	15°			55						
22						108					169	15Rt									
23						108					169	15Rt									
24						108					169	15Rt									
25						108					169	15Rt									
26																					
27																					
28																					
29																					
30																					
31																					
32																					
33																					
34																					
35																					

BOOST AP/PA

	Ia	Ib	Ia
ANATOMICAL AREA	(R) Post chest wall ribs		(R) Post PA
FIELD DIRECTION/NO.	PA	RtLar	PA
FIELD SIZE	17 x 13	12 x 13	17 x 13
CONE SIZE	19.5	-	-
SSD/T.H./APCS	92.5 TH 20.4	90.5	92.5
COLL. ANGLE	0	0	0
GANTRY ANGLE	0	90	0
COUCH ANGLE	-	-	-
BLOCKS	MLC	MLC	MLC
WEDGE/BOLUS	30° et	30° et	45° et
ENERGY	6X		6X
MU	157	157	198
DATE			
START/STOP ANGLE			
SET-UP	Blue Prone pillow ankles #1	ALTERNATE WEDGES DUAL ENERGY	

NO.	DATE	TIME	TECH	MU	W	BL	B	PF	MU	W	BL	B	PF	MU	W	BL	B	PF
1	5/10/99	1337	SK	157	30R	✓		✓	157	30L	✓		✓	178	45R	✓		
2	5/11/99	930	QDB											178	45R	✓		
3	5/12/99	909	JM	157	30R	✓			157	30L	✓			178	45R	✓		
4	5/13/99	914	JM															
5	5/14/99	857	MT	157	30R	✓			157	30L	✓			178	45R	✓		✓
6	5/17/99	900	JM					✓					✓					
7	5/18/99	932	PC	157	30R	✓		✓	157	30L	✓		✓	178	45R	✓		
8	5/19/99	1111	DB											178	45R	✓		
9	5/20/99	906	JM	157	30R	✓			157	30L	✓			178	45R	✓		
10	5/21/99	914	NS															
11	5/24/99	912	NS	157	30R	✓			157	30L	✓			178	45R	✓		
12	5/25/99	904	NS															
13	5/26/99	901	NS	157	30R	✓			157	30L	✓			178	45R	✓		
14	5/27/99	900	DB											178	45R	✓		
15	5/28/99	902	DB	157	30R	✓		✓	157	30L	✓		✓	178	45R	✓		
16	6/1/99	929	SB															
17	6/2/99	849	SB	157	30R	✓			157	30L	✓			178	45R	✓		
18	6/3/99	914	NS															
19	6/4/99	900	JM	157	30R	✓			157	30L	✓			178	45R	✓		
20	6/7/99	921	SB															
21	6/8/99	1351	JM		15		0		0									
22	6/9/99	939	PC															
23	6/10/99	901	PC															
24	6/11/99	857	PC															
25	6/14/99	913	SB															
26																		
27																		
28																		
29																		
30																		
31																		
32																		
33																		
34																		
35																		

ANATOMICAL AREA	Rt. Post Chestwall Boost	
FIELD DIRECTION/NO.	AP (Ia)	PA (Ia)
FIELD SIZE	16 X 12.5	16 X 12.5
CONE SIZE	-	-
SSD/SAD/ARCS	88.5 TH11.2	88.5
COLL. ANGLE	0	0
GANTRY ANGLE	0	180
COUCH ANGLE	-	-
BLOCKS	MLC	MLC
WEDGE/BOLUS	-	-
ENERGY	18X	[REDACTED]
MU	82	150
REVISION DATE		
START/STOP ANGLE		
SET-UP	50p/mc wingboard c arms up	

NO.	DATE	TIME	TECH	MU	W	BL	B	PF	MU	W	BL	B	PF	Daily Tumor Dose	Cum Tumor Dose	Elapsed Days	Remarks
26	6/15/99	913	SB	82	✓	✓		✓	150	✓	✓			200	5200	34	D1
27	6/16/99	900	SB	82		✓			150		✓			200	5400	35	
28	6/17/99	905	SB	82		✓			150		✓			200	5600	36	
29	6/18/99	903	NS	82		✓			150		✓			200	5800	37	
30	6/21/99	922	SB	82		✓			150		✓			200	6000	40	
31	6/22/99	907	SB	82		✓			150		✓			200	6200	41	✓ D1
32	6/23/99	859	NS	82		✓			150		✓			200	6400	42	
33	6/24/99	911	SK	82		✓			150	✓	✓			200	6600	43	AT Stop

COPY

# Progress Notes

Date/Time	Commentary	Int.
5/14/99	c/o N/V, sick to stomach, so pain in @ lower chest o.l. lung exam clear - pt. has acid problem o° to chest & RT Advise for food, malax, zantac, some ProD.	JF
5/21/99	still more acid problem, change to prilosec some ProD - Advise for food again - pt. lying up.	JF
5/28/99	17 lbs wt. loss exper. - Talled in pt again; N/V & acidity under control, pain is gone, c/o Constipation - Advise for enema -	JF
6/4/99	c/o soreness again today after riding pickup trucks. Advise to avoid wt lifting or sudden twisting and turning - Take pain meds - supportive braces helping	JF
6/11/99	no new c/o acidity entered, feeling much better, will continue RT, stain: hyperemic, shiny, lung exam: clear & unchanged -	JF
6/18/99	no more N/V, chest pain or SOB, cough - Feeling strong, wants to start working - Emphysem: no wheezing, clear - tenderness in RL chest area subsided will go higher dose for better control since still big mass in there - Stop at 66kg	JF
6/21/99	c/o N/V, sick to stomach, not eating - temp? Flu - Advise for food - pt. <sup>wants to</sup> continue RT to finish it! ProD 2 packs.	JF

	Int.	Signature	Date/Time
Therapist:	OH	David Hargrett	5-10-99 @ 12:44
	SK	James Hargrett	5-10-99 @ 1337
	TR	Patricia Jones RTT	5-11-99 @ 936
	JM	Joy Miller RTT	5-12-99 @ 910
	PC	Patty Coke RTT	5-18-99 9:36
	DB	Debbie Brown RTT	5-18-99 11:24
Physicist:	DR	Dr. Thompson	5/11/99

COPY

NH

BRFORD, FRANK DAVIS 58Y 06/12/40 M MR#: 2285781  
KLUGER, NEIL J

05/06/99 0831

Chk-in #    Order    Exam  
173825           0001    1170        LONG BONE SURVEY

Views of the cervical spine demonstrate no abnormality.

Views of the dorsal spine show erosion of the pedicle on the right side T9 in association with destruction of the right 9th rib, in turn associated with the large soft tissue mass. The dorsal spine is otherwise normal in appearance.

Views of the lumbar spine show the disk spaces to be preserved. The bony structures show no abnormality and the soft tissues in the region unremarkable in appearance.

Views of the skull show two or three well-defined lytic defects. I do think that these represent multiple myeloma. They probably are ethmoid granulations.

Views of the left ribs demonstrate no abnormality.

Views of the right ribs show destruction of the right 9th rib in association with a large soft tissue mass.

View of the pelvis shows no abnormality.

Views of the right humerus show no abnormality.

Views of the left humerus show no abnormality.

Views of the right femur demonstrate no abnormality.

Views of the left femur show no abnormality.

JIM

DUPLICATE

(Continued)  
RMC-MADISONVILLE, KY.  
Radiology Report

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NU

RUTHERFORD, FRANK DAVIS 58Y 06/12/40 M MR#: 2285781  
MC KLUGER, NEIL J

05/06/99 0831

Chk-in # Order Exam 1170 LONG BONE SURVEY  
173825 0001

Views of the cervical spine demonstrate no abnormality.

Views of the dorsal spine show erosion of the pedicle on the right side of T9 in association with destruction of the right 9th rib, in turn associated with the large soft tissue mass. The dorsal spine is otherwise normal in appearance.

Views of the lumbar spine show the disk spaces to be preserved. The bony structures show no abnormality and the soft tissues in the region are unremarkable in appearance.

Views of the skull show two or three well-defined lytic defects. I do not think that these represent multiple myeloma. They probably are arachnoid granulations.

Views of the left ribs demonstrate no abnormality.

Views of the right ribs show destruction of the right 9th rib in association with a large soft tissue mass.

AP view of the pelvis shows no abnormality.

Views of the right humerus show no abnormality.

Views of the left humerus show no abnormality.

Views of the right femur demonstrate no abnormality.

Views of the left femur show no abnormality.

FINAL

(Continued)  
RMC-MADISONVILLE, KY.  
Radiology Report

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RFORD, FRANK DAVIS 58Y 06/12/40 M MR#: 2285781  
KLUGER, NEIL J

05/06/99 0831

Checkin-Exam Code Summary  
173825-1170

ws of the right tibia and fibula show no abnormality.  
ws of the left tibia and fibula show no abnormality.  
ws of the right forearm show no abnormality.  
ws of the left forearm show no abnormality.

/READ BY/ Philip C. Trover M.D.  
/Released By/ Philip C. Trover M.D.

*ML*

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RMC-MADISONVILLE, KY.  
Radiology Report

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WETHERFORD, FRANK DAVIS58Y 06/12/40 M MR#: 2285781  
S - OP SHAH, SATISH J

06/02/99 0819

Chk-in # Order Exam  
177402 0036 2579

CT-RADIATION THERAPY PLANNING

Contrast/Med : NONE

CT-Radiation Therapy Planning does not require a Radiologists' interpretation.

AMM /READ BY/ DR.SATISH SHAH /Released By/ CRAIG A LUNDQUIST M.D.

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RMC-MADISONVILLE, KY.  
Radiology Report

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RUTHERFORD, FRANK DAVIS59Y 06/12/40 M MR#: 2285781

07/29/99 1009

SHAH, SATISH J  
200 CLINIC DRIVE  
MADISONVILLE KY 42431

Chk-in #      Order      Exam      CHEST PA & LAT.\*L  
184669      0002      1011

There is a sizable pleural-based soft tissue lesion present posteriorly on the right. There is rib destruction in the area. The appearance is that of a so-called plasmacytoma. The lungs and pleural spaces otherwise show no abnormality. The heart and mediastinum are normal in appearance. No other bony abnormality is seen.

AMM /READ BY/ Philip C. Trover M.D.  
/Released By/ Philip C. Trover M.D.

*szs*  
*8/2/99*

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RMC-MADISONVILLE, KY.  
Radiology Report

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RUTHERFORD, FRANK DAVIS59Y 06/12/40 M MR#: 2285781  
MC

10/28/99 0925

SHAH, SATISH J  
200 CLINIC DRIVE  
MADISONVILLE KY 42431

Chk-in #	Order	Exam	
196764	0003	1011	CHEST PA & LAT.*L

The exam demonstrates a sizable pleural based mass with underlying rib destruction in the posterior lower right lung field. The appearance is consistent with a plasmacytoma. The mass is somewhat smaller now than on film from July 29th. Otherwise, negative.

AMM /READ BY/ Philip C. Trover M.D.  
/Released By/ Philip C. Trover M.D.

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RMC-MADISONVILLE, KY.  
Radiology Report

  
COPY